

Plasma Cell-Free DNA Profiling of PTEN-PI3K-AKT Pathway Aberrations in Metastatic Castration-Resistant Prostate Cancer



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PURPOSE Tumor tissue from metastatic castration-resistant prostate cancer (mCRPC) harbors frequent copy number variations (CNVs) in the PTEN-PI3K-AKT pathway. However, identifying CNVs in plasma cell-free DNA (cfDNA) has proven to be challenging. With emerging data supporting Akt inhibition in PTEN-deficient mCRPC, we profiled PTEN-PI3K-AKT pathway aberrations in patients with mCRPC using a novel cfDNA assay optimized for CNV detection.

METHODS A next-generation sequencing-based cfDNA assay was used to profile 231 patients with mCRPC from two independent cohorts (Australian, n = 78; United States, n = 153). PTEN-PI3K-AKT pathway genomic aberrations were correlated with clinical outcomes, including progression-free survival and overall survival (OS).

RESULTS *PTEN* loss and *PIK3CA* gain were detected in 37% (85 of 231) and 17% (39 of 231) of patients, respectively. Poorer outcomes were observed in patients with PTEN-PI3K-AKT pathway aberrations, including those with dual *PTEN* loss and *PIK3CA* gain (hazard ratio 2.3, 95% CI 1.2 to 4.4). Cumulative CNV burden in the PTEN-PI3K-AKT and androgen receptor (AR) pathways was associated with significantly worse clinical outcomes (0 v 1 v ≥ 2 CNVs in Australian cohort: median OS 33.5 v 17.2 v 9.7 months, *P* < .001; 0 v 1 v ≥ 2 CNVs in US cohort: median OS 35.5 v 14.3 v 9.2 months, *P* < .001). Notably, 21% (31 of 146) of *PTEN*-neutral patients harbored alternative PTEN-PI3K-AKT pathway aberrations.

CONCLUSION PTEN-PI3K-AKT pathway CNVs were readily detected using our cfDNA assay, with the prevalence of *PTEN* loss comparable with tissue-based studies. Additional PTEN-PI3K-AKT pathway aberrations were found in one fifth of *PTEN*-neutral cases. Concurrent CNVs in the PTEN-PI3K-AKT and AR pathways portended poor survival, and identifying this high-risk patient subset for dual AR/Akt inhibition may optimize precision treatment with Akt inhibitors in mCRPC.

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INTRODUCTION

The last decade has seen an expansion in life-prolonging therapeutics for metastatic castration-resistant prostate cancer (mCRPC), including androgen receptor (AR) pathway inhibitors (ARPIs), the taxane chemotherapeutic agent cabazitaxel, and inhibitors of poly(ADP-ribose) polymerase.¹⁻⁵ Although the development of drugs targeting phosphatidylinositol-3-kinase (PI3K) signaling has long been investigated across a range of solid tumors,^{6,7} recent findings from the IPATential-150 study of abiraterone and pan-Akt inhibitor ipatasertib underscore the potential of co-targeting these pathways in prostate cancer.^{8,9} Ipatasertib significantly improved radiographic progression-free survival (PFS); however, of note, the benefit was only observed in the context of *PTEN* loss.

Large-scale tissue sequencing efforts have demonstrated frequent dysregulation of the PTEN-PI3K-AKT pathway in mCRPC, most commonly with *PTEN* tumor suppressor loss (40%-60%) and less frequently by

amplification or gain-of-function mutations in *PIK3CA*, *PIK3CB*, and *AKT1* (< 10% each).¹⁰⁻²⁰ The challenges of acquiring high-quality metastatic tissue for molecular analysis and recognition that disease evolution may not be adequately reflected in archival tissue have led to the emergence of liquid biopsies as an alternative path toward biomarker discovery in advanced prostate cancer.²¹ However, cell-free DNA (cfDNA) studies have consistently shown lower frequency of copy number variations (CNVs) compared with tissue cohorts, representative of challenges in ploidy assessment in environments with low circulating tumor DNA (ctDNA) fraction and high tumor heterogeneity.^{22,23} This is arguably no more apparent than with *PTEN* loss, where prevalence may be as low as 10%-15% in cfDNA studies after accounting for samples omitted because of low ctDNA fraction.²⁴⁻²⁷ Given that metastatic prostate cancer is characterized by recurrent gains and deletions,¹¹⁻¹⁷ blood-based assays capable of accurate CNV status assessment are urgently needed.

ASSOCIATED CONTENT

Appendix

Data Supplement

Author affiliations and support information (if applicable) appear at the end of this article.

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CONTEXT

Key Objective

Can we robustly detect and determine the prognostic utility of blood-based genomic aberrations including copy number variations (CNVs) in the PTEN-PI3K-AKT pathway in metastatic castration-resistant prostate cancer (mCRPC)?

Knowledge Generated

PTEN-PI3K-AKT pathway aberrations were readily detected in plasma cell-free DNA (cfDNA) from 231 patients with mCRPC in two independent cohorts, including *PTEN* loss in 37%. CNVs in the PTEN-PI3K-AKT pathway were associated with significantly worse clinical outcomes, especially when combined with *AR* gain. Additional PTEN-PI3K-AKT pathway aberrations were detected in approximately one fifth of *PTEN*-neutral patients.

Relevance

PTEN-PI3K-AKT pathway CNVs were readily detected using a validated cfDNA liquid biopsy assay, with the prevalence of *PTEN* loss comparable with tissue-based studies. Plasma cfDNA profiling may facilitate and optimize patient selection for targeted treatment with Akt inhibitors in mCRPC.

Recent liquid biopsy studies have implicated PTEN-PI3K-AKT pathway aberrations in driving disease progression and treatment resistance in mCRPC,²⁷⁻²⁹ although not all are in agreement.^{18,30} Furthermore, the individual contribution of PTEN-PI3K-AKT pathway members besides *PTEN* is less well-defined. Accurate identification of PTEN-PI3K-AKT pathway aberrations in ctDNA and understanding their interaction with more established biomarkers including *AR* gain^{24,31-35} hold important clinical implications, particularly in light of the results from IPATential-150.^{8,9}

Herein, we employ a targeted, high-sensitivity, next-generation sequencing (NGS) cfDNA assay to profile the PTEN-PI3K-AKT pathway in two independent mCRPC cohorts totaling 231 patients, correlating genomic aberrations with longitudinal clinical outcomes. We show that plasma cfDNA can be used to determine copy number status in mCRPC and may be a highly valuable tool for identifying patients suitable for precision treatment with PTEN-PI3K-AKT pathway inhibitors.

METHODS

Patient Cohorts

This multi-institutional prospective biomarker study collected plasma samples from 231 patients across two independent cohorts in Australia and the United States. Study approval was acquired from each institution's human research ethics committee, with all participants providing written informed consent before sample collection. In both cohorts, peripheral blood was collected immediately before commencing systemic therapy and processed as described in the Data Supplement.

The Australian cohort comprised 78 patients with mCRPC commencing systemic therapy at two tertiary institutions (Monash Health and Chris O'Brien Lifehouse) between September 2016 and April 2019. Forty-nine (63%) patients commenced ARPI therapy (abiraterone or enzalutamide)

and 29 (37%) patients commenced taxane chemotherapy (docetaxel or cabazitaxel). Baseline clinical characteristics and previous systemic treatment exposure are presented in the Data Supplement. The median follow-up time for nondeceased patients was 28.0 months.

The US cohort comprised 153 patients from the Mayo Clinic enrolled between September 2009 and March 2014 with either biochemically or radiographically progressive mCRPC. Although genomic features of this cohort have been partially characterized,^{29,36} the analyses undertaken here represent unique hypotheses using a targeted and sensitive NGS panel that have not been explored before. Baseline clinical characteristics are presented in the Data Supplement. The median follow-up time for nondeceased patients was 80.7 months.

Targeted cfDNA Sequencing

All plasma samples underwent cfDNA sequencing (Pre-dicine Inc., Hayward, CA) from a single tube (10 mL) of peripheral blood.^{29,37} Relevant to this study, the assay targets all coding regions of *PTEN*, *PIK3CA*, and *AR* and selected hotspot regions of *AKT1*, *mTOR*, *PPP2R1A*, *STK11*, *TSC1*, and *TSC2* (see the Data Supplement for the full gene list). Orthogonal validation of CNVs with low-pass whole genome sequencing (LP-WGS) was performed on a subset of Australian cohort samples ($n = 46$). LP-WGS data were analyzed using ichorCNA software,³⁸ which estimates plasma CNVs using a hidden Markov model. A detailed description of DNA extraction, sequencing, analytical validation, and bioinformatics analysis (somatic mutation identification, CNV detection and ctDNA fraction estimation, and ichorCNA tool settings) is presented in the Data Supplement.

Clinical Outcomes and Statistical Analysis

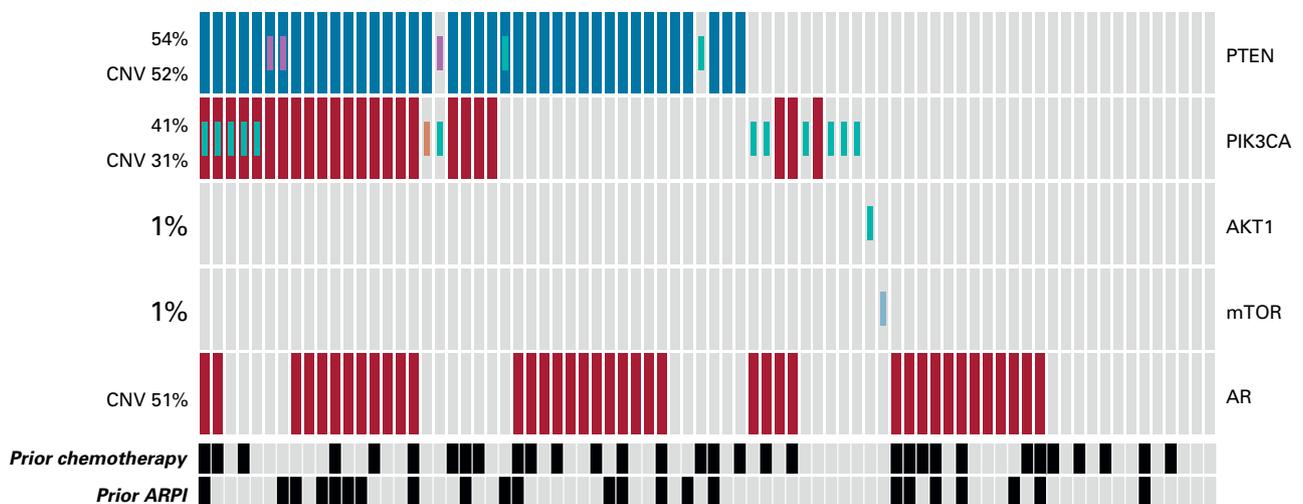
Kaplan-Meier survival estimates (log-rank test) and multi-variable Cox regression models were used to assess the association between PTEN-PI3K-AKT pathway aberrations

A

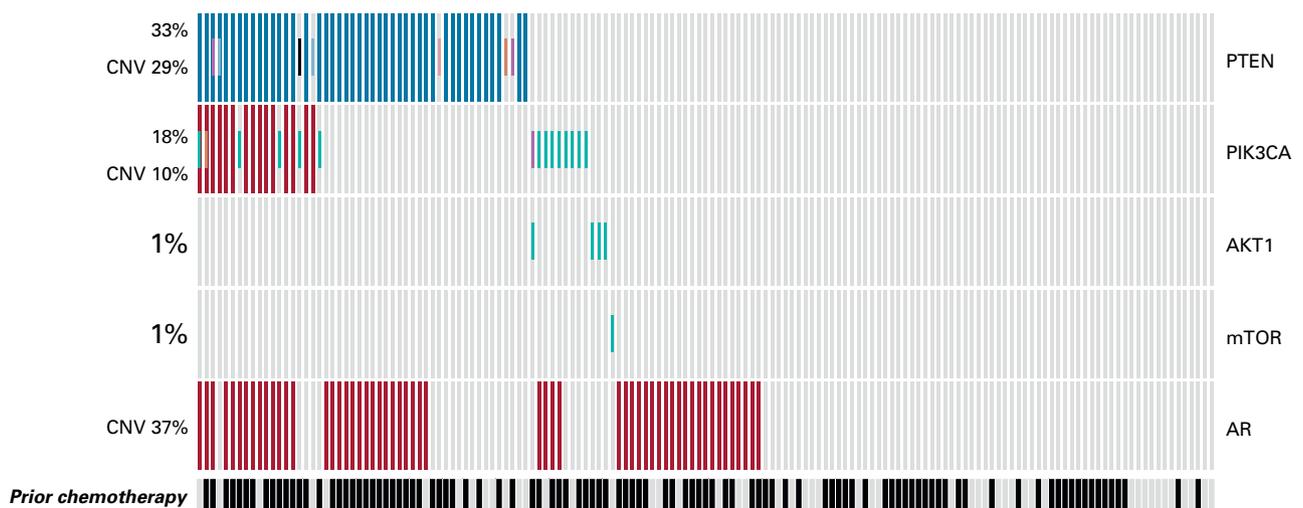
| Copy Number Gain | | |
|------------------|-------------------|-------------------|
| Expected Copy | Sn % (95% CI) | PPV % (95% CI) |
| 2.00 to 2.19 | 32 (14.9 to 53.5) | 89 (51.8 to 99.7) |
| 2.19 to 2.23 | 45 (23.1 to 68.5) | 82 (48.2 to 99.7) |
| 2.23 to 2.37 | 100 (83.2 to 100) | 100 (83.2 to 100) |
| ≥2.37 | 100 (92.3 to 100) | 100 (92.3 to 100) |
| Copy Number Loss | | |
| Expected Copy | Sn % (95% CI) | PPV % (95% CI) |
| 1.80 to 1.85 | 66 (50.7 to 79.1) | 89 (73.3 to 96.8) |
| 1.75 | 100 (83.9 to 100) | 100 (83.9 to 100) |
| 1.70 | 100 (59 to 100) | 100 (59 to 100) |
| <1.70 | 100 (88.4 to 100) | 100 (88.4 to 100) |

B

Australian cohort



US cohort



Yes **Alterations** Gain Missense Mutation Frameshift Mutation Splice Site Mutation
 No Deletion In-Frame Mutation Nonsense Mutation Multiple Mutations

and clinical outcomes, including PFS (time from treatment commencement to first confirmed prostate-specific antigen progression, clinical or radiographic progression, or death from prostate cancer) and overall survival (OS; time from treatment commencement until death from any cause). Where an event had not occurred at time of data analysis, survival outcomes were right censored at the date of last patient contact. Statistical significance was defined as $P < .05$.

Study Oversight and Responsibilities

Samples were obtained as part of noninterventional biomarker studies. Study investigators were involved in initial sample acquisition, sample processing, and clinical data collection. Predicine Inc. were responsible for sequencing and bioinformatic analysis of cfDNA samples only and were not otherwise involved in study design, subject accrual, clinical data collection, or analysis of clinico-genomic associations.

RESULTS

Analytical Validation of a cfDNA-Based Assay Capable of Detecting CNV Alterations in Advanced Prostate Cancer

Given the challenges of accurate copy number estimation in liquid biopsy specimens, development of a novel NGS-based assay capable of enhanced detection of plasma CNVs, including *PTEN* loss, is a key priority. The assay used in this study employs a custom targeted panel-based approach, in combination with proprietary operation chemistry and analysis algorithms. Hybrid capture probes targeting single-nucleotide polymorphisms in the introns both upstream and downstream of relevant genes are used to capture additional copy number information.

Multiple steps were taken for analytical validation (see the Data Supplement for full details). Briefly, copy number loss performance was assessed using tumor- or normal-matched cancer cell lines as true positive and true negative samples. Extracted DNA was titrated to seven tumor fraction levels ranging from 5% to 75%. Copy number gain performance was evaluated using commercial ctDNA reference material at three tumor fraction levels ranging from 0.25% to 0.5%. The assay limit of detection was determined to be 1.75 copies for copy number loss and 2.23 copies for copy number gain (Fig 1A). Assay specificity was

evaluated in 24 healthy donors and determined to be > 99%. Assay precision (both repeatability and within-lab reproducibility) was assessed by measuring variation between 18 sets of the same sample aliquots. The intra-run and intermediate-run precision was measured to be 97.8% (95% CI, 86.5% to 99.9%) and 99.6% (95% CI, 97.5% to 100%), respectively.

Detection, Validation, and Clinical Associations of PTEN-PI3K-AKT Pathway Aberrations

Having demonstrated that this cfDNA assay is capable of reliably detecting CNVs, we then applied it to determine *PTEN* and *PIK3CA* copy number status in our two independent mCRPC cohorts. Sequencing metrics for the Australian and US cohorts are provided in the Data Supplement. The workflow for the final 231 patients with analyzable cfDNA sequencing and clinical outcome data are shown in Appendix Fig A1.

PTEN loss was observed in 37% (85 of 231) of patients (Fig 1B). In a subset of Australian cohort patients with additional plasma available, LP-WGS confirmed targeted panel-detected *PTEN* loss in 90% (28 of 31) of patients (Data Supplement). Discordance was attributed to either focal copy number loss ($n = 2$) or failure of LP-WGS to accurately assess *PTEN* CNV status in adjacent copy number loss and copy number neutral segments ($n = 1$) (Fig 1C). There was evidence of high correlation for overall copy number as assessed by targeted panel and LP-WGS ($R = 0.85$, Fig 1D).

PTEN loss was strongly associated with shorter PFS and OS in the Australian cohort. When adjusting for baseline clinicopathologic prognostic factors including ctDNA fraction (Data Supplement), this association remained significant in multivariable analysis (Table 1, Fig 2A, and Data Supplement). A similar association was confirmed in the US cohort with respect to OS (Table 1, Fig 2B, and Data Supplement). Association with PFS in the US cohort was not performed as patient enrollment was before the widespread availability of ARPIs. Of note, in the 146 *PTEN*-copy number neutral patients in this study, 31 (21%) patients exhibited alternate PTEN-PI3K-AKT pathway aberrations (Fig 1B), most commonly *PIK3CA* mutation and/or gain ($n = 21$, 68%) and *PTEN* mutation ($n = 7$, 23%). In addition, none of the patients with mutations in *AKT1*

FIG 1. Analytical and orthogonal validation of cell-free DNA (cfDNA) assay. A, Analytical sensitivity of Predicine cfDNA assay for detection of CNVs. B, Genomic landscape of PTEN-PI3K-AKT and AR pathway aberrations identified in cfDNA in Australian (top) and US (bottom) cohorts. C, Copy number plots for seven patients with discordant CNV status in the Australian cohort. Interrogation of the *PTEN* locus (top three panels) demonstrated that LP-WGS missed two patients with focal loss (AU-027 and AU-051) and was unable to accurately assess *PTEN* CNV status in one patient with adjacent copy number loss and copy number neutral segments (AU-072). Interrogation of the *PIK3CA* locus (middle panel) demonstrated that LP-WGS missed one patient with focal gain (AU-034). Interrogation of the *AR* locus (bottom three panels) demonstrated that LP-WGS missed two patients with low-level *AR* gain (AU-005 and AU-055) and one patient with focal *AR* gain (AU-027). D, Copy number correlation between targeted panel and LP-WGS for *PTEN* loss, *PIK3CA* gain, and *AR* gain in the Australian cohort. Abbreviations: AR, androgen receptor; ARPI, AR pathway inhibitor; cfDNA, cell-free DNA; CNV, copy number variation; LP-WGS, low-pass whole-genome sequencing; mCRPC, metastatic castration-resistant prostate cancer; PPV, positive predictive value; QC, quality control; Sn, sensitivity.

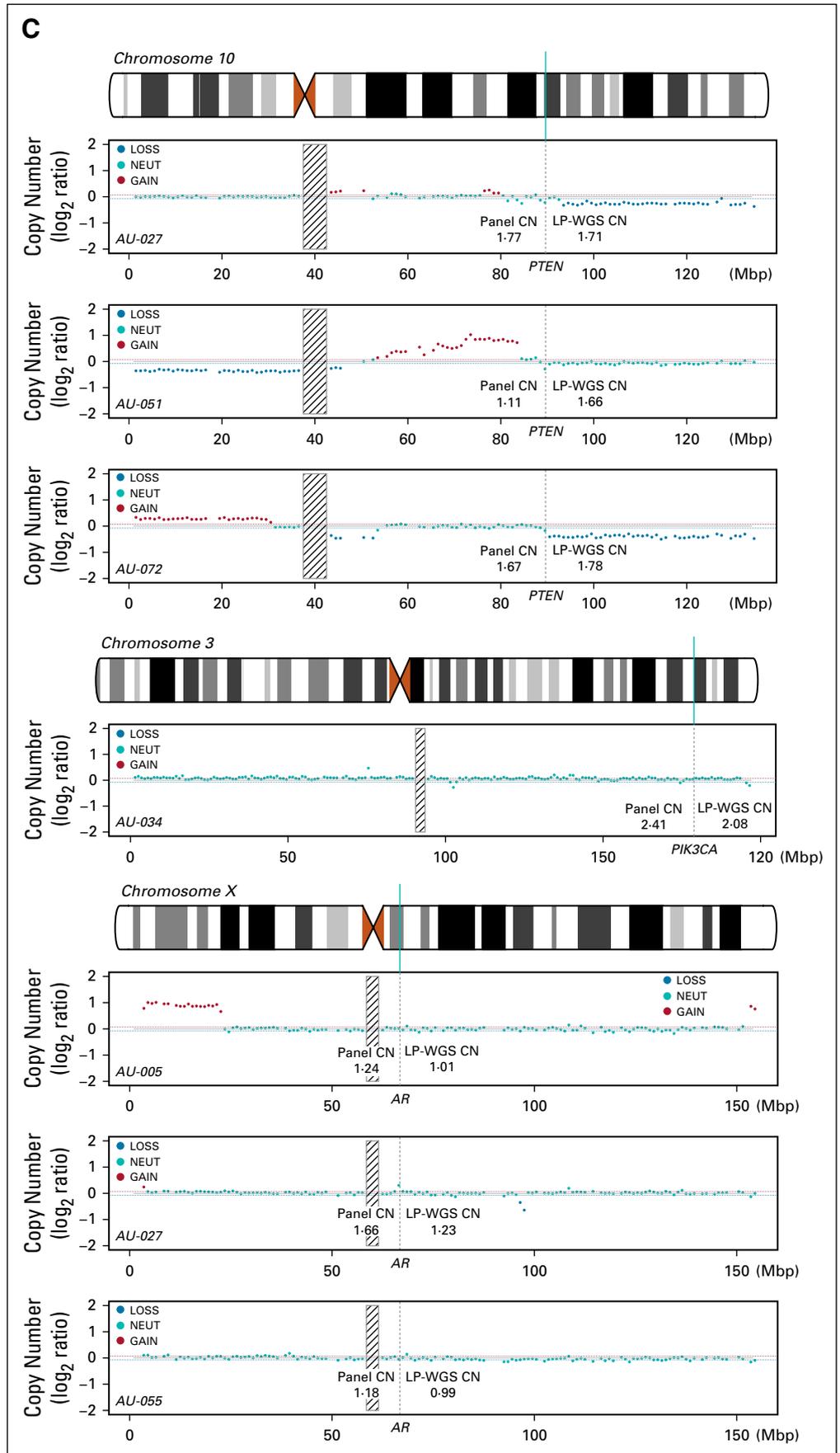


FIG 1. (Continued).

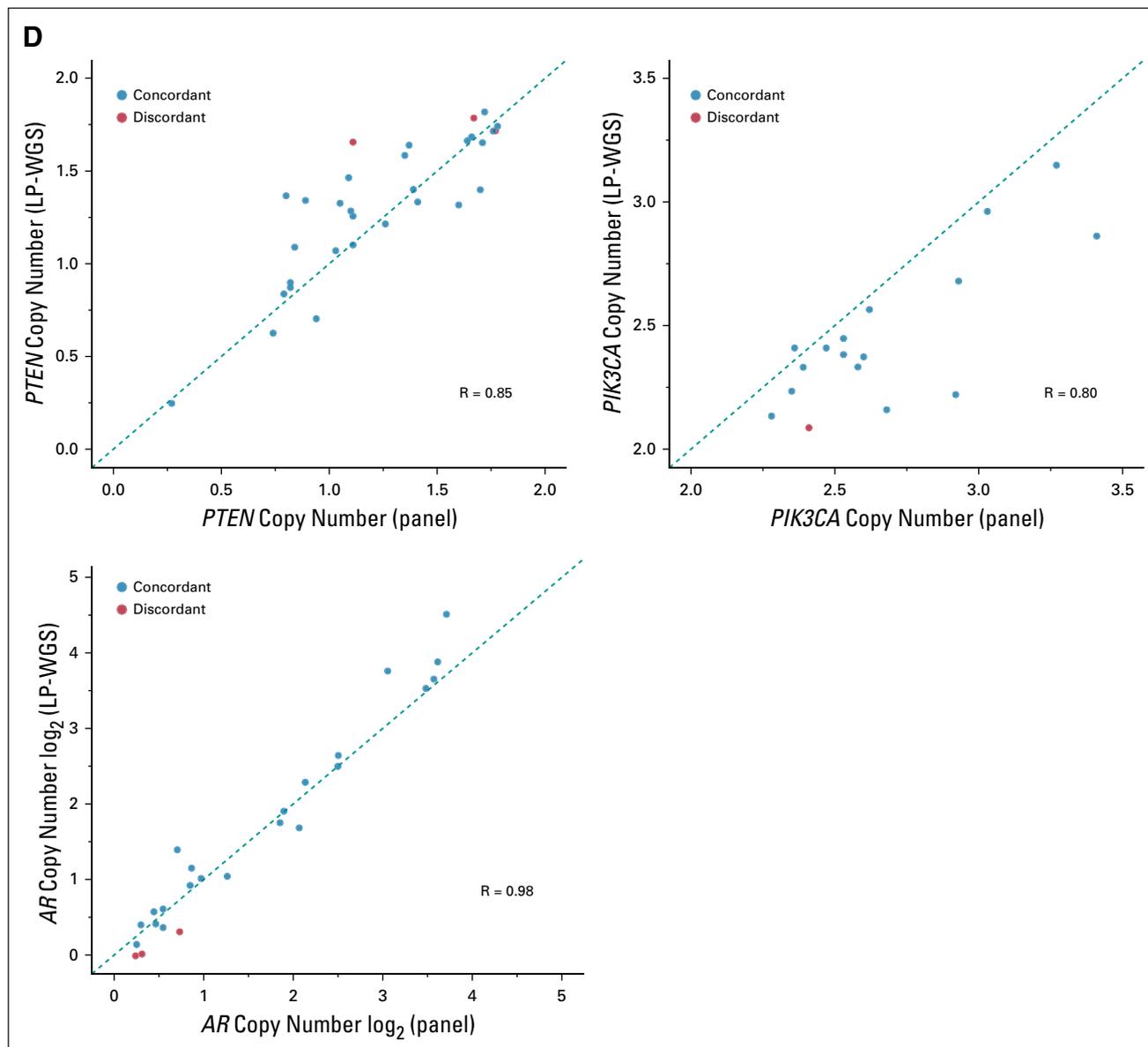


FIG 1. (Continued).

($n = 5$) or *mTOR* ($n = 2$) across either cohort harbored concurrent *PTEN* loss and/or mutation.

PIK3CA gain was observed in 17% (39 of 231) of patients (Fig 1B). LP-WGS confirmed targeted panel-detected *PIK3CA* gain in 84% (16 of 19) of Australian cohort patients with additional available plasma, with one patient determined to be *PIK3CA* neutral and two patients with indeterminate LP-WGS results (Data Supplement). Examination of one patient with discordant CNV status showed evidence of focal copy number gain (Fig 1C). Strong correlation was again noted between targeted panel- and LP-WGS-assessed *PIK3CA* copy number (Fig 1D, $R = 0.80$). *PIK3CA* gain was also independently associated with poor survival outcomes in the Australian cohort, but not the US cohort (Table 1 and Appendix Fig A2).

In the Australian cohort, mutations were most frequently observed in *PIK3CA* (13 of 78, 17%). *PTEN* mutations were uncommon at 6% (5 of 78), with *AKT1* and *mTOR* mutations rare (single case each). In the US cohort, *PIK3CA* mutations were again the most common, albeit at a lower prevalence than the Australian cohort at 10% (15 of 153). *PTEN*, *AKT1*, and *mTOR* mutations were observed in $< 5\%$ of patients. Given the low frequency of certain PTEN-PI3K-AKT pathway mutations (eg, *AKT1* and *mTOR*) in both cohorts, correlation with clinical outcomes was restricted to genes mutated in at least five patient samples. In contrast to CNVs, mutations in PTEN-PI3K-AKT pathway genes alone did not significantly correlate with clinical outcomes (Table 1). A full list of PTEN-PI3K-AKT pathway CNVs and mutations for the Australian and US cohort can be found in the Data Supplement.

Cumulative CNVs in the PTEN-PI3K-AKT and AR Pathways Independently Confer Poor Prognosis

We next sought to analyze the clinical impact of cumulative CNVs. Given reciprocal regulation between the PTEN-PI3K-AKT and AR pathways,³⁹⁻⁴¹ we included AR in analysis of clinico-genomic correlations. AR mutations were present in 19% (45 of 231) of patients (see the Data Supplement for the list of AR mutations in Australian and US cohorts, respectively). In the Australian cohort, AR mutations did not

correlate with any clinical outcomes. By comparison, AR mutations in the US cohort were associated with shorter OS in univariable analysis (hazard ratio [HR] 1.7; 95% CI, 1.1 to 2.7; $P = .02$), but not in multivariable analysis (Table 1). AR gain was present in 42% (96 of 231) of patients (Fig 1B). Of patients who underwent LP-WGS validation in the Australian cohort, 22 of 25 patients (88%) with AR gain were validated with LP-WGS, with excellent correlation between targeted panel and LP-WGS copy number (Fig 1D,

TABLE 1. Cox Proportional Hazards Analysis of Clinical Outcomes Based on PI3K/AR Pathway Aberrations in Australian and US Cohorts

| Variable | Univariable Analysis | | | | | | Multivariable Analysis ^{c,d} | | | | | |
|--|----------------------|-------------|-----------------|------|-------------|-----------------|---------------------------------------|-------------|-------------|-----|-------------|-----------------|
| | PFS | | | OS | | | PFS | | | OS | | |
| | HR | 95% CI | <i>P</i> | HR | 95% CI | <i>P</i> | HR | 95% CI | <i>P</i> | HR | 95% CI | <i>P</i> |
| Australian cohort (n = 78) | | | | | | | | | | | | |
| CNVs | | | | | | | | | | | | |
| PTEN loss | 2.5 | 1.4 to 4.3 | .002 | 3.7 | 2.1 to 6.6 | <.001 | 1.9 | 1.1 to 3.4 | .03 | 3.0 | 1.5 to 5.7 | .001 |
| PIK3CA gain | 2.2 | 1.2 to 4.0 | .009 | 4.1 | 2.3 to 7.4 | <.001 | 1.7 | 0.89 to 3.3 | .11 | 2.9 | 1.5 to 5.5 | .001 |
| AR gain | 2.2 | 1.3 to 3.8 | .005 | 2.4 | 1.3 to 4.1 | .003 | 2.2 | 1.2 to 4.0 | .01 | 2.2 | 1.2 to 4.1 | .02 |
| Mutations ^{a,b} | | | | | | | | | | | | |
| PTEN mutation | 0.32 | 0.08 to 1.3 | .12 | 0.38 | 0.09 to 1.6 | .19 | — | — | — | — | — | — |
| PIK3CA mutation | 0.53 | 0.24 to 1.2 | .11 | 0.67 | 0.31 to 1.4 | .31 | — | — | — | — | — | — |
| AR mutation | 0.74 | 0.41 to 1.3 | .32 | 0.91 | 0.51 to 1.7 | .78 | — | — | — | — | — | — |
| Cum. PTEN-PI3K-AKT and AR pathway CNVs | | | | | | | | | | | | |
| 0 | Ref | — | — | Ref | — | — | Ref | — | — | Ref | — | — |
| 1 | 2.6 | 1.2 to 5.9 | .02 | 5.5 | 2.2 to 14 | <.001 | 2.3 | 0.99 to 5.4 | .052 | 6.2 | 2.3 to 17 | <.001 |
| ≥ 2 | 4.6 | 2.2 to 9.7 | <.001 | 8.9 | 3.8 to 21 | <.001 | 3.3 | 1.5 to 7.5 | .004 | 9.3 | 3.5 to 25 | <.001 |
| US cohort (n = 153) | | | | | | | | | | | | |
| CNVs | | | | | | | | | | | | |
| PTEN loss | — | — | — | 2.6 | 1.8 to 3.7 | <.001 | — | — | — | 1.9 | 1.3 to 2.9 | .002 |
| PIK3CA gain | — | — | — | 1.8 | 1.1 to 3.1 | .03 | — | — | — | 1.7 | 0.92 to 3.0 | .09 |
| AR gain | — | — | — | 2.7 | 1.9 to 4.0 | <.001 | — | — | — | 2.3 | 1.5 to 3.4 | <.001 |
| Mutations ^{a,b} | | | | | | | | | | | | |
| PTEN mutation | — | — | — | 0.99 | 0.46 to 2.1 | .99 | — | — | — | — | — | — |
| PIK3CA mutation | — | — | — | 1.5 | 0.89 to 2.6 | .13 | — | — | — | — | — | — |
| AR mutation | — | — | — | 1.7 | 1.1 to 2.7 | .02 | — | — | — | 1.4 | 0.89 to 2.3 | .14 |
| Cum. PTEN-PI3K-AKT and AR pathway CNVs | | | | | | | | | | | | |
| 0 | — | — | — | Ref | — | — | — | — | — | Ref | — | — |
| 1 | — | — | — | 2.2 | 1.5 to 3.3 | <.001 | — | — | — | 1.8 | 1.2 to 2.8 | .006 |
| ≥ 2 | — | — | — | 3.6 | 2.3 to 5.7 | <.001 | — | — | — | 3.2 | 2.0 to 5.3 | <.001 |

NOTE. All $P < .05$ are highlighted in bold. Only PI3K/AR pathway aberrations with $P < .05$ in univariable analysis were included in multivariable analysis. Abbreviations: CNV, copy number variation; Cum, cumulative; HR, hazard ratio; OS, overall survival; PFS, progression-free survival.

^aMutations included single nucleotide variants and small insertions or deletions.

^bPTEN-PI3K-AKT pathway mutations that occurred in < 5 patients (*AKT1*, *mTOR*, *PPP2R1A*, *STK11*, *TSC1*, and *TSC2*) were not included in the analysis.

^cVariables included in Australian cohort MVA: circulating tumor DNA fraction ≥ 2%, prior chemotherapy, prior AR pathway inhibitor therapy, presence of visceral metastasis, presence of pain at baseline, and Eastern Cooperative Oncology Group performance status ≥ 2. See the Data Supplement for complete MVA analysis for cumulative PTEN-PI3K-AKT and AR pathway CNVs.

^dVariables included in US cohort MVA: circulating tumor DNA fraction ≥ 2%, prior chemotherapy, alkaline phosphatase (\log_{10}). See the Data Supplement for complete MVA analysis for cumulative PTEN-PI3K-AKT and AR pathway CNVs.

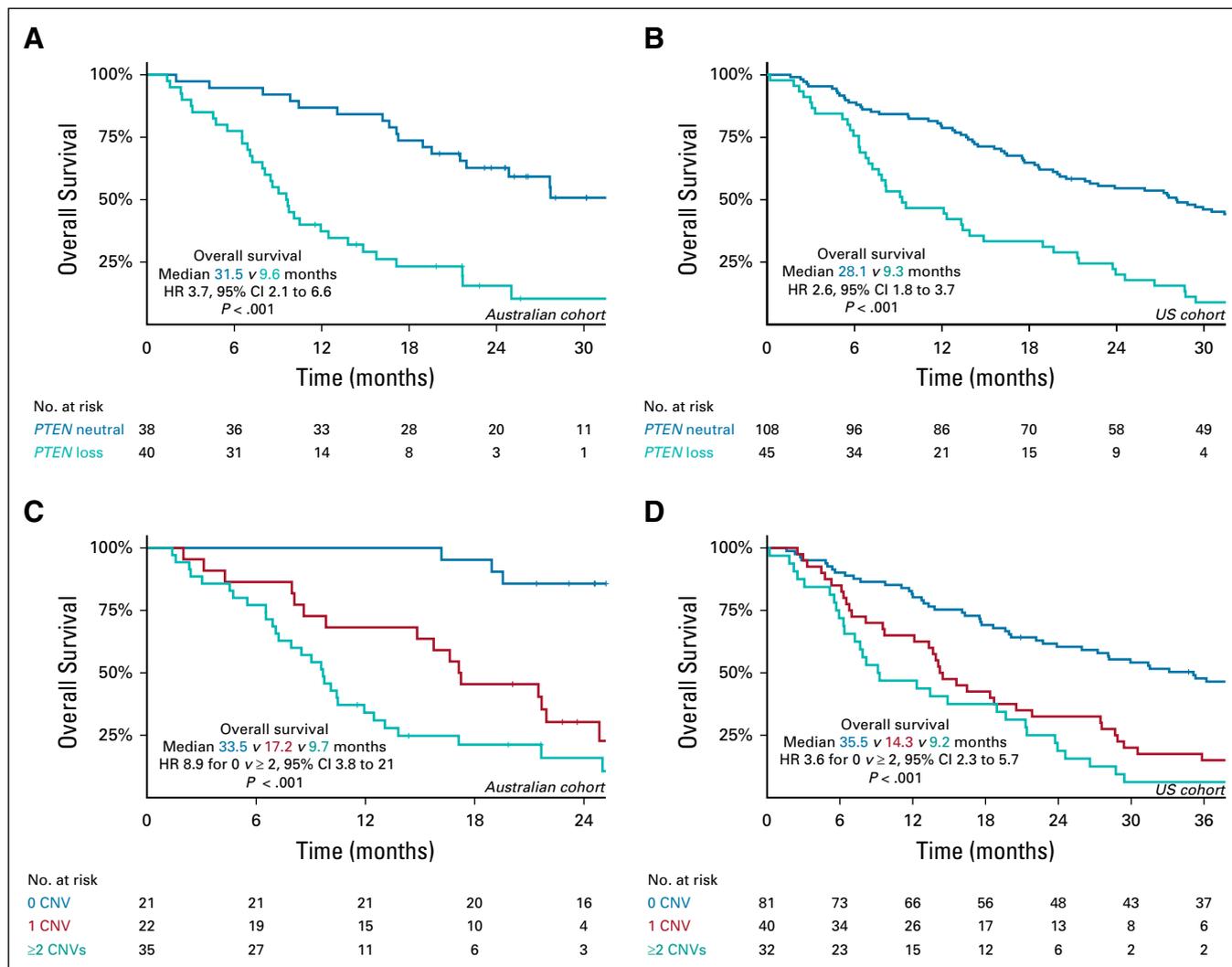


FIG 2. (A and B) Kaplan-Meier curves of overall survival according to *PTEN* copy number status and (C and D) cumulative CNVs in PTEN-PI3K-AKT and AR pathways. (A) *PTEN* CNV status in Australian cohort. (B) *PTEN* CNV status in US cohort. (C) Cumulative CNVs in Australian cohort. (D) Cumulative CNVs in US cohort. AR, androgen receptor; CNV, copy number variation; HR, hazard ratio.

$R = 0.98$). Examination of LP-WGS plots for the three discordant patients revealed low level *AR* gains ($n = 2$) and a focal copy number gain ($n = 1$) (Fig 1C). With respect to clinical outcomes, *AR* gain was associated with shorter PFS and OS in univariable and multivariable analyses in both cohorts (Table 1 and Appendix Fig A2).

Next, we hypothesized that cumulative CNVs in the PTEN-PI3K-AKT and AR pathways may predict for worse prognosis. To investigate this, we categorized patients based on the total number of CNVs detected at baseline. Considering *PTEN* loss, *PIK3CA* gain, and *AR* gain collectively across both cohorts, 0/1/ ≥ 2 CNVs were observed in 102 (44%), 62 (27%), and 67 (29%) samples. Cumulative CNVs in the PTEN-PI3K-AKT and AR pathways were significantly associated with OS in both the Australian (median OS for 0 v ≥ 2 CNVs: 33.5 months v 9.7 months, $P < .001$, log-rank test; Fig 2C) and US cohort (median OS for 0 v ≥ 2 CNVs:

35.5 months v 9.2 months, $P < .001$, log-rank test; Fig 2D). Critically, this relationship persisted when multivariable analysis was performed on each cohort separately (Table 1) and in an exploratory subgroup analysis based on ctDNA fraction (above and below 2%) (Appendix Fig A3). Analysis of outcomes by treatment subgroup in the Australian cohort demonstrated that findings were most apparent, as expected, in ARPI-treated patients (Data Supplement).

***PIK3CA* Gain May Further Potentiate *PTEN* Loss and Contribute to Worse Clinical Outcomes**

To understand the extent to which different CNVs were contributing to poor outcomes, exploratory analyses of various CNV combinations were performed. Given the multiple testing, formal statistical comparison was not undertaken between these subgroups. Although isolated *PTEN* loss was associated with less favorable outcomes (median OS 11 months v 21 months; HR 1.6; 95% CI, 1.0 to 2.7; log-rank

test), the negative impact of *PIK3CA* gain was most apparent when concurrently present with *PTEN* loss (median OS 7.2 months v 21 months; HR 2.3; 95% CI, 1.2 to 4.4; log-rank test) and with combined *PTEN/PIK3CA/AR* CNV (median OS 8.5 months v 21 months; HR 3.2; 95% CI, 2.0 to 5.0; log-rank test) (Table 2). To determine if treatment exposure affected the prevalence of dual PTEN-PI3K-AKT pathway CNV activation, we compared rates of prior systemic therapy in patients with *PTEN* loss without *PIK3CA* gain (n = 50) with patients with *PTEN* loss and *PIK3CA* gain (n = 35). There was no significant difference with respect to prior ARPI therapy (yes v no: 9 of 16 [56%] v 26 of 69 [38%], *P* = .3, chi-square test), taxane chemotherapy (20 of 51 [39%] v 15 of 34 [44%], *P* = 1.0, chi-square test), or any systemic therapy (25 of 59 [42%] v 10 of 26 [38%], *P* = .9, chi-square test).

DISCUSSION

The PTEN-PI3K-AKT pathway is among the most frequently dysregulated oncogenic pathways in mCRPC, second only to the AR.^{12,13,16,17} With an emerging role for targeting the PTEN-PI3K-AKT axis, developing minimally invasive assays for profiling this pathway has clear clinical relevance for patients with mCRPC. CNVs in the PTEN-PI3K-AKT pathway are of particular importance, best reflected by the prognostic⁴² and predictive⁹ significance of *PTEN* loss. However, detection of *PTEN* loss in cfDNA has historically been challenging in low ctDNA purity and/or high tumor heterogeneity environments,^{22,23} accounting for suboptimal concordance with tissue-derived CNVs.^{43,44} Having undergone robust analytical and orthogonal validation with both reference material and cell-line titration samples, the cfDNA assay used in this study is capable of detecting copy number loss events with high sensitivity and specificity.

Applying this novel assay to two independent prospective clinical cohorts totaling 231 patients with mCRPC, we observed enhanced detection of *PTEN* loss (detected in 37% of patients with mCRPC compared with 10%-15% in previous cfDNA studies).²⁴⁻²⁷ We also validated a high proportion of panel-detected *PTEN* and *PIK3CA* CNVs using orthogonal validation with LP-WGS. Critically, where cfDNA *PTEN* copy number status was discordant between the sequencing methods, we showed that the targeted panel-based approach may possess greater sensitivity for detecting focal regions of copy number loss. This raises the appealing prospect that liquid biopsy panel-based assays such as the one described here could complement PTEN tissue testing to identify candidates for targeted treatment including ipatasertib and capivasertib⁴⁵ or other PTEN-PI3K-AKT pathway inhibitors.

Our findings support the growing body of preclinical and clinical evidence pointing to significant interactions between the PTEN-PI3K-AKT and AR pathways that contribute to deleterious outcomes.³⁹⁻⁴¹ Early attempts to target the PTEN-PI3K-AKT pathway with PI3K and Akt inhibitor monotherapy in PTEN-deficient mCRPC were met with

disappointing results, with the reasons apparent with increased appreciation of negative feedback regulation between the two pathways.^{39,40} Acknowledging this relationship, the phase III IPATential-150 trial co-targeted PTEN-PI3K-AKT and AR pathways using abiraterone and the pan-Akt inhibitor ipatasertib, resulting in significant radiographic PFS improvement in PTEN-null tumors over abiraterone monotherapy.^{8,9} It remains to be seen if co-targeting these pathways results in cfDNA clearance of associated aberrations, for which the development of a sensitive and specific assay would be of utility. Furthermore, although this data signals renewed interest in PI3K/Akt targeting in mCRPC, additional aberrations beyond *PTEN* loss can activate PTEN-PI3K-AKT signaling and warrant exploration.

One such candidate may be *PIK3CA* gain. When *PIK3CA* gain was observed concurrently with *PTEN* loss, patients appeared to have worse outcomes than those with *PTEN* loss alone, suggesting that *PIK3CA* gain potentiates *PTEN* loss in prostate cancer progression. Traditionally, preclinical data in *PTEN*-deficient malignancies, including prostate cancer, have supported p110 β (encoded by *PIK3CB*) as the primary PI3K isoform involved in tumorigenesis.⁴⁶⁻⁴⁸ However, dependent on the tissue type and pathology, the p110 α catalytic subunit (encoded by *PIK3CA*) may in fact be of equal or greater importance in driving disease outcomes and responsiveness to PTEN-PI3K-AKT pathway inhibition.⁴⁹⁻⁵¹ Pearson et al⁵² further illustrated a key role of *PIK3CA* in concert with *PTEN*. Using genetically engineered mouse models of prostate cancer, they demonstrated that a double hit culminating in concurrent *PTEN* loss and *PIK3CA* mutation is nonredundant and may instead cooperate to prime the PTEN-PI3K-AKT pathway for rapid progression to invasive carcinoma, greater tumor burden, and de novo castration resistance.⁵²

Although statistically significant, we note that the absolute improvement in median radiographic PFS with ipatasertib in IPATential-150 was reasonably modest at 2 months.⁹ Therefore, much work remains to improve patient selection and thereby enhance the clinical utility of ipatasertib. Our data demonstrate the synergistic role of dual CNVs in the PTEN-PI3K-AKT pathway, and additionally, the impact of cumulative CNV burden in the PTEN-PI3K-AKT and AR pathways. It is plausible that these high-risk patients with dual PTEN-PI3K-AKT pathway with or without AR pathway activation might have tumors that are primed to best respond to co-targeting of PTEN-PI3K-AKT and AR signaling. This warrants further prospective evaluation in clinical trial cohorts to determine if a sensitive and specific cfDNA-based assay can optimize a rational targeted therapeutic decision for using agents such as ipatasertib.

It is also noteworthy that just more than one fifth of *PTEN*-neutral patients in our study had aberrations in other PTEN-PI3K-AKT pathway partners, with nearly 70% of this subset harboring either *PIK3CA* gain or mutation. Furthermore,

TABLE 2. Hazard Ratio for Overall Survival Attributable to PI3K/AR Pathway CNVs (Combined Australian and US Cohorts)

| Variable | n (%) | HR | 95% CI |
|---|----------|------|--------------|
| No PI3K/AR pathway CNV | 102 (44) | 0.32 | 0.23 to 0.42 |
| AR gain alone | 40 (17) | 1.5 | 1.0 to 2.1 |
| PIK3CA gain alone | 2 (1) | 0.6 | 0.15 to 2.4 |
| PTEN loss alone | 20 (9) | 1.6 | 1.0 to 2.7 |
| AR gain plus PTEN loss | 30 (13) | 2.0 | 1.3 to 3.0 |
| AR gain plus PIK3CA gain | 2 (1) | 2.5 | 0.62 to 10 |
| PIK3CA gain plus PTEN loss | 11 (5) | 2.3 | 1.2 to 4.4 |
| AR gain plus PTEN loss plus PIK3CA gain | 24 (10) | 3.2 | 2.0 to 5.0 |

NOTE. For each comparison, the analyses compare aberration-positive patients with all patients who did not possess that exact aberration combination.

Abbreviations: CNV, copy number variation; HR, hazard ratio.

AKT1 and *mTOR* mutations were exclusively seen in *PTEN*-neutral patients, with the former phenomenon having previously been observed in other studies.^{30,53} Identifying these patients with alternative drivers of PI3K signaling should be prioritized as they may derive clinical benefit from therapeutics targeting the PTEN-PI3K-AKT pathway. Recognizing that *PTEN* deletion is an early truncal event^{11,54,55} and *PIK3CA* aberrations emerge in response to systemic treatment resistance,^{55,56} molecular testing on primary tissue alone may underestimate the true extent of patients who exhibit PTEN-PI3K-AKT pathway activation because of non-*PTEN* deletion mechanisms. Thus, cfDNA assays of the nature described here will become of

increasing importance by potentially broadening the clinical application of PI3K/Akt inhibitors.^{57,58}

We acknowledge certain limitations in our study, including the need for larger and more homogenous data sets in future studies. Likewise, although our analysis was limited to genomic aberrations, multianalyte approaches incorporating transcriptomic, proteomic, and circulating tumor cell enumeration biomarkers have been shown to provide additional predictive value⁵⁹⁻⁶⁵ and would be of clear interest in follow-on studies.

In conclusion, multiple novel inhibitors of the PTEN-PI3K-AKT pathway are currently in late-phase development. With heterogeneity of response, strategies to correctly identify tumors with oncogenic addition to the PTEN-PI3K-AKT pathway are vital to optimizing treatment selection. Using a validated cfDNA liquid biopsy assay, we robustly identified *PTEN* loss in 37% of patients with mCRPC across two independent cohorts, similar to the prevalence observed in tumor tissue. We also demonstrated the negative prognostic potential of PTEN-PI3K-AKT with or without AR pathway CNVs in both cohorts. Importantly, we found that approximately one fifth of *PTEN*-neutral patients had other activating aberrations in the PTEN-PI3K-AKT pathway. Altogether, our data support the use of liquid biopsy assays to ascertain *PTEN* and *PIK3CA* copy number status in mCRPC and possess key clinical relevance given the recent findings of the IPATential-150 clinical trial. Future studies should examine the predictive biomarker potential of CNV quantification in clinical trials and investigate dynamic changes in the PTEN-PI3K-AKT and AR pathways that arise in the context of potent systemic therapy.

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AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

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APPENDIX

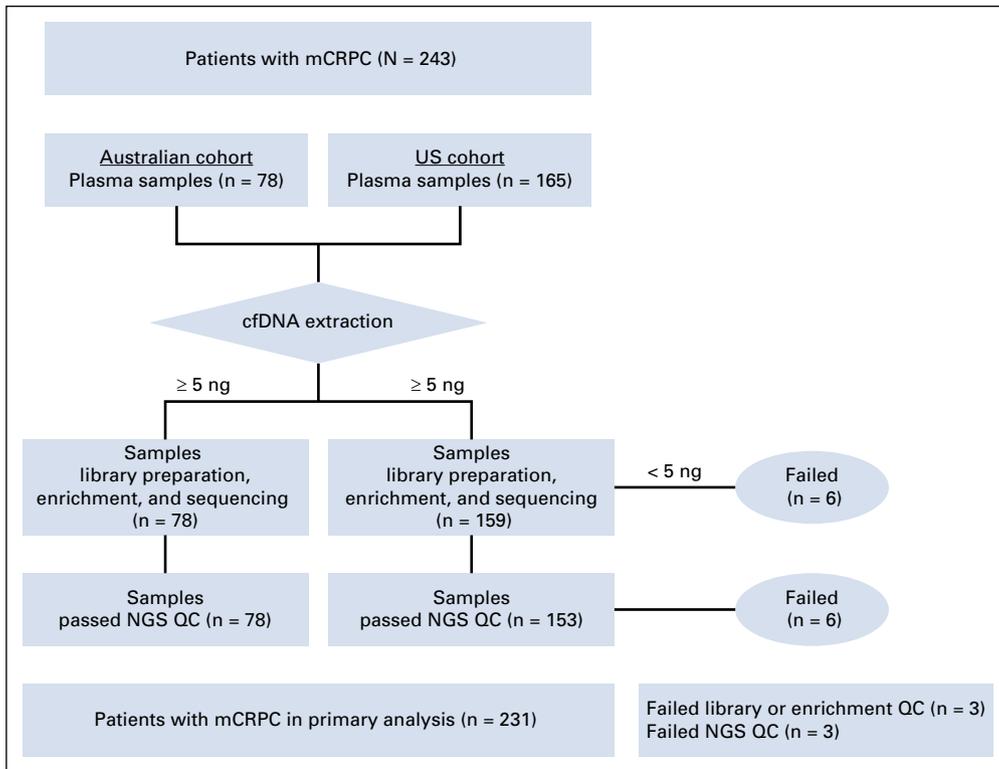


FIG A1. Workflow for assessable blood samples in the Australian and US cohorts. cfDNA, cell-free DNA; mCRPC, metastatic castration-resistant prostate cancer; NGS QC, next-generation sequencing quality control.

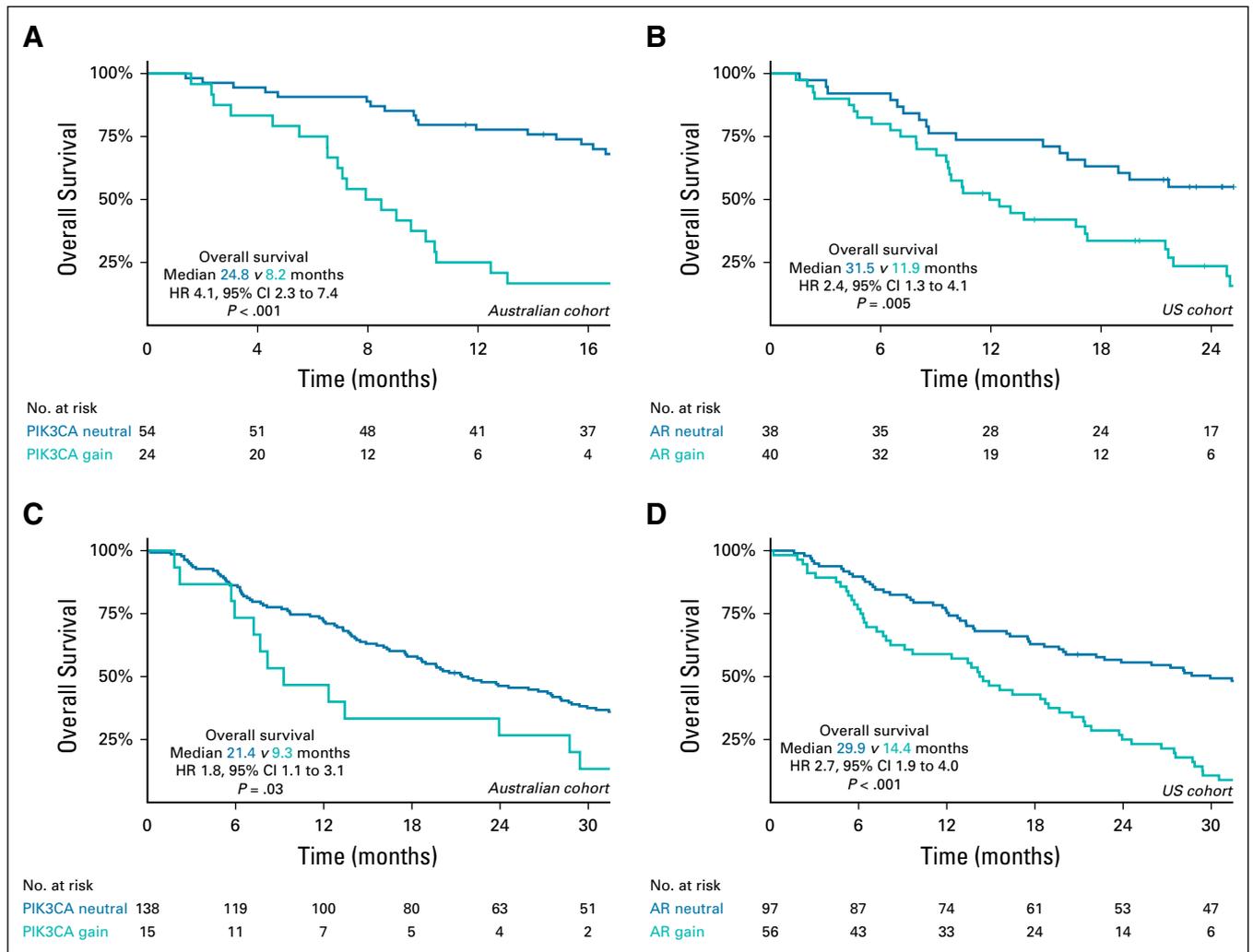


FIG A2. Kaplan-Meier curve of overall survival (OS) according to *PIK3CA* and *AR* copy number variation status. Kaplan-Meier analysis of OS according to the presence of (A) *PIK3CA* gain in Australian cohort, (B) *AR* gain in Australian cohort, (C) *PIK3CA* gain in US cohort, and (D) *AR* gain in US cohort. HR, hazard ratio.

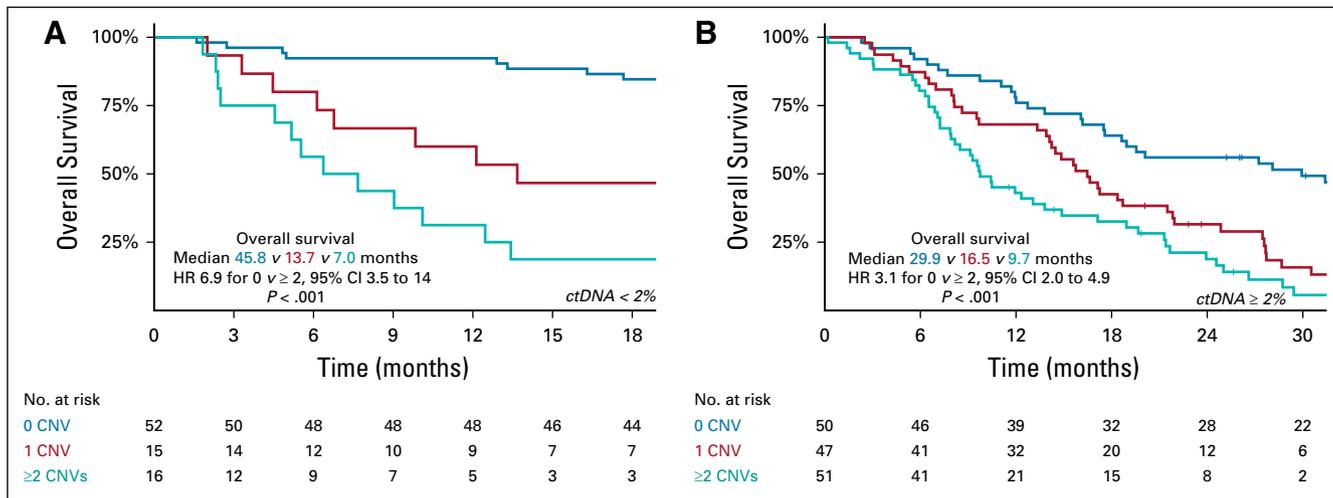


FIG A3. Kaplan-Meier curve of overall survival (OS) according to cumulative CNVs in PTEN-PI3K-AKT and AR pathways in combined Australian and US cohorts analyzed by ctDNA fraction. Kaplan-Meier analysis of OS according to cumulative CNVs for patients (A) below 2% ctDNA fraction and (B) above or equal to 2% ctDNA fraction. AR, androgen receptor; CNV, copy number variation; ctDNA, circulating tumor DNA; HR, hazard ratio.